

3748

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Patent and Trademark Office: U.S. Department of Commerce

0001/PTO
Rev. 10/95

U.S. Department of Commerce
Patent and Trademark Office

Application Number	09/577,560
Filing Date	May 24, 2000
First Named Inventor	Kenneth Pawson
Group Art Unit	3748
Examiner Name	
Attorney Docket Number	4695-00011 (4191-00014)

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of pages in this Submission

5+

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Response
 - ☐ After final
 - ☐ Affidavits/Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement/PTO-1449
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/
 - ☐ Incomplete Application
 - ☐ Response to Missing Parts Under 37.152 or 1.53
- ☐ Request to Rescind Previous Nonpublication Request

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition To Convert a Provisional Application
- ☐ Power of Attorney, Revocation, Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CDs

- ☐ After Allowance Communication To Group
- ☐ Appeal Communication to Board Of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (Please identify below)

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual Name	Michael E. Taken (Reg. No. 28,120) ANDRUS, SCEALES, STARKE & SAWALL, LLP 100 East Wisconsin Avenue, Suite 1100, Milwaukee, WI 53202
Signature	<i>Michael E. Taken</i>
Date	9/5/03

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Typed or printed name	Jo Ann Kuczynski	Date	9-5-03
Signature	<i>Jo Ann Kuczynski</i>		

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No. : 09/577,560
Applicant : Kenneth Pawson et al.
Filed : May 24, 2000
Title : Space Efficient Exhaust
Aftertreatment Filter
T.A.U. : 3748
Examiner :

Docket No. : 4695-00011
(Formerly 4191-00014)

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J6 Ann Kuczyński 9-5-03
J6 Ann Kuczyński Date

INFORMATION DISCLOSURE STATEMENT

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Sir:

Enclosed are copies of the references listed on the attached "Information Disclosure Statement By Applicant" PTO Form 1449. The references are cited in a European Search Report in a Communication dated August 25, 2003 from the European Patent Office in counterpart European Patent Application No. 01112355.1, copy enclosed.

In the event this Information Disclosure Statement crosses in the mail with a first Office Action, it hereby stated, in accordance with 37 C.F.R. §1.97(c)(1) and (e)(1), that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

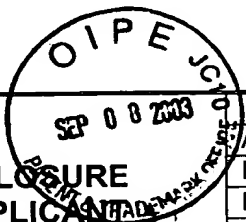
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Michael E. Taken

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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	09/577,560
		Filing Date	May 24, 2000
		First Named Inventor	Kenneth Pawson
		Group Art Unit	3748
		Examiner Name	
Sheet 1 of 1	Attorney Docket number	4695-00011 (4191-00014)	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		39 42 380	6/27/1991	Germany				
		0 931 913	7/28/1999	EPO				

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)	
	Patent Abstracts of Japan, Vol. 17, No. 700 (C-1145), 12/21/1993 & JP 05 237397 A (Yamaha Motor Co., Ltd.) 9/17/1993
	Patent Abstracts of Japan, Vol. 1997, No. 03, 3/31/1997 & JP 08 296433 A (Isuzu Motors, Ltd.), 11/12/1996.

Examiner Signature		Date Considered	
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to client.			